

FORM PTO-1390
(REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

HILL 102

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/049498

INTERNATIONAL APPLICATION NO.
PCT/EP99/05995INTERNATIONAL FILING DATE
16 August 1999 (16.08.99)PRIORITY DATE CLAIMED
16 August 1999 (16.08.99)TITLE OF INVENTION
PASSIVATION METHOD FOR ZINC-NICKEL LAYERSAPPLICANT(S) FOR DO/EO/US
Ernst-Walter Hillebrand

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
Form PCT/IB/308 is also enclosed.

EXPRESS MAIL NO. EL 845499353 US

U.S. APPLICATION NO. 10/049498

INTERNATIONAL APPLICATION NO.
PCT/EP99/05995ATTORNEY'S DOCKET NUMBER
HILL 10221. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... \$1040.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$890.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	6 - 20 =	0	x \$18.00
Independent claims	1 - 3 =	0	x \$84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00

\$

\$ 0.00

\$ 0.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 445.00

+

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 445.00

Amount to be
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 445.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50/1039. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gary W. McFarron
Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.
200 West Adams Street - Suite 2850
Chicago, IL 60606
(312) 236-8500

SIGNATURE

Gary W. McFarron

NAME

27,357

REGISTRATION NUMBER

10/049498

IC12 Rec'd PCT/PTO 13 FEB 2002

PATENT

Attorney Docket No. HILL 102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Ernst-Walter Hillebrand

International Application No.:
PCT/EP99/05995

International Application Filing Date:
August 16, 1999

International Priority Date:
August 16, 1999

For: PASSIVATION METHOD FOR ZINC-
NICKEL LAYERS

) CERTIFICATE OF MAILING
) BY "EXPRESS MAIL"

) Express Mailing Label : EL845499353US

) Date of Deposit February 13, 2002

) I hereby certify that this paper or fee is being
) deposited with the United States Postal
) Service Express Mail Post Office Box
) Addressee" service under 37 CFR 1.10 on
) the date indicated above and is addressed
) to: Box PCT, Commissioner for Patents,
) Washington, D.C. 20231

) NAME ARMANDO CHUNG
) (TYPED OR PRINTED)

) SIGNATURE Armando Chung

) DATE: February 13, 2002

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF REQUEST FOR ENTRY INTO U.S. NATIONAL PHASE
UNDER 35 USC 371

Dear Sir:

Enclosed please find the following:

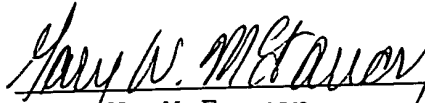
- 1) Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US) Concerning the Filing Under 35
USC 371 (PTO Form 1390 with Page 2 in duplicate);
- 2) Preliminary Amendment;
- 3) English translation of the International Application
PCT/EP99/05995;
- 4) A check in the amount of \$445.00; and

10/049498

JG13 Rec'd PCT/PTO 13 FEB 2002

5) Form PCT/IB/308.

Respectfully submitted,



Gary W. McFarron
Registration No.: 27,357

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